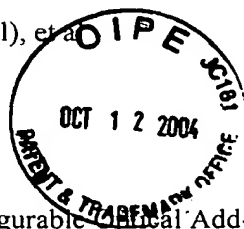


2633

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Chen, Gang (Paul), et al  
Serial No: 09/932,221  
Filed: 8/17/2001  
For: Tunable Reconfigurable Optical Add-Drop Multiplexer and a Switching Device



Examiner: Chau M. Nguyen  
Group Art Unit: 2633

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§ 1.56, 1.97 – 1.98

Mail Stop Amendment  
Commissioner of Patents  
Alexandria, VA 22313-1450

Dear Sir:

The Examiner's attention is hereby directed to the following reference(s) listed on the attached Form PTO-1449 for consideration in connection with the examination of the above-identified patent application.

Each of the enclosed reference(s) was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the enclosed documents constitute "prior art." If it should be determined that any of the submitted documents do not constitute "prior art" under United States law, applicant(s) reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicant(s) further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the enclosed references, should one or more of the references be applied against the claims of the present application.

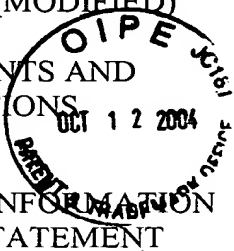
Respectfully submitted,

*Svetlana Z. Short*  
Svetlana Z. Short  
Registration No. 34,432  
Corning Incorporated  
SP-TI-03-1  
Corning, NY 14831  
607-974-0412

Date: 10/7/04

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Mail Stop Amendment, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on <u>10-7-04</u> Date of Deposit	
Svetlana Z. Short Name of applicant, assignee, or Registered Representative	
<i>Svetlana Z. Short</i> Signature	
<u>10/7/04</u> Date of Signature	

FORM PTO-1449 (MODIFIED)

LIST OF PATENTS AND  
PUBLICATIONSFOR APPLICANTS INFORMATION  
DISCLOSURE STATEMENTATTORNEY DOCKET NO.  
SP01-235Tunable Reconfigurable Optical Add-  
Drop Multiplexer and a Switching  
Device

SERIAL NO.

09/932,221

APPLICANT Chen, Gang (Paul), et al.

FILING DATE 8/17/2001

GROUP: 2633

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date if Approp.
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						

## FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Sub-Class	Translation Yes	No
	AL	EP1006377A2	6/7/00	European	G02BB 6/12			
	AM							
	AN							
	AO							

## OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

AR	XP002290442; A hitless reconfigurable add-drop multiplexer for WDM networks utilizing planar waveguides, thermo-optic switches and UV-induced gratings; 1998; OFC 98 Technical Digest; Scotti, R. E., et al; Pg. 142-143
AS	XP002290443; Experimental investigation of wavelength-tunable WADM and OXC devices using strain-tunable fiber Bragg gratings; Optics Communications; Shien-Kuei Liaw, et al; pgs. 75-80; October 1, 1999
AT	XP000830089; Thermo-optic Planar Polymer Bragg Grating OADM's with Broad Tuning Range; IEEE Photonics Technology Letters, IEEE Inc.; vol. 11, no. 4, April 1999; pages 448-450
AU	
AV	
AW	

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609: draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.